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09/533,152	03/23/2000	Michael J. Coar	2610-001	1127

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EXAMINER

NGUYEN, DANG T

ART UNIT	PAPER NUMBER
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2178

DATE MAILED: 01/29/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/533,152

Applicant(s)

COAR, MICHAEL J.

Examiner

Dang T Nguyen

Art Unit

2178

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☐ Responsive to communication(s) filed on 21 November 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 23 March 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.
- a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

### DETAILED ACTION

1. This action is responsive to applicant's amendment received on 12/03/003.
2. Claims 13 - 20 have been added. Claims 1 - 20 are pending on this application  
Claims 1, 7, and 13 are independent claims.
3. The rejection of claims 1-12 under 35 USC 102 (e) as being anticipated by Mao et al. has been withdrawn pursuant to the applicant's argument.

### ***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

**Claims 1-16 and 18-20 are rejected under 35 U.S.C. 102(e) as being anticipated by Durst et al., U.S. patent No. 6,108,656 - filed May 11,1999.**

**Regarding independent claim 1**, Figs.1 and 2 of Durst et al. disclose a method for the creation of an electronic container comprising: Creating an electronic version ([Optional] Text & Graphics) of at least one object (TEXT [16]); creating a graphical code (machine-readable code [12]) representing information about the at least one

object (Col. 4 lines 54 - 56); associating the graphical code to the at least one object (Col. 4 lines 56 - 57); assigning a transaction identifier (Col. 4 lines 61 - 65, *disclosing transaction [to load] identifier [WWW] included in the machine-readable code*, Col. 4 lines 65-67) to the at least one object; and storing (server [46]) the at least one object with other objects having the same transaction identifier (*[50] is the file storage location for objects [16]), with other objects [52] having the same transaction identifier (See fig. 2[23], See Col. 5 lines 62 - 67, disclosing demographics information 52 of user having same transaction[ to load] identifier [WWW]).*

**Regarding dependent claim 2**, Durst et al. discloses the graphical code [12] comprises unique index information about the at least one object (Col. 4 lines 65 – 67, *disclosing the data string 20 of the object which is encoded in the bar code 12, and Fig. 2 [20] disclosing a unit index information [21, 22, ...26] about of the file location of object 16, and 18).*

**Regarding dependent claim 3**, Figs. 1 and 2, Col. 4 lines 65 - 67 of Durst et al. discloses the graphical code [12] comprises coordinate location [21] relating to fields (Field 1, Field 2, Field 3 ... Field 6) within the object .

**Regarding dependent claim 4**, Col. 4 lines 65 - 67 of Durst et al. discloses the graphical code (12) comprises routing information (Col. 5 lines 1 - 3).

**Regarding dependent claim 5**, Fig. 2 [12] discloses the graphical code comprises at least a one dimensional code (Col. 5 lines 9-11 and 36).

**Regarding dependent claim 6**, Durst et al. discloses further comprising binding (Fig. 2 [21 - 26]) the at least one object (*21 is the file location of the at least 1 object 16,*

18) to other objects (22 - 26) wherein the graphical code [12] comprises binding information (*Fig. 2, launch, user demographics...etc*) that relates to one object and each of the other objects to each other. (Col. 4 lines 60 – Col. 5 line 5).

**Regarding independent claim 7**, Figs.1 and 2 of Durst et al. disclose a system for the creation of an electronic container comprising: at least one object containing information [16, 18]; a workstation [14] for inputting data about the at least one object [16, 18]; a graphical code creator ([29] of Fig. 2); connected to the workstation (*Fig. 2 is a workstation document generator [14] of Fig. 1*) for crating a graphical code comprising the data [12]; an electronic record creator [12] connected to the scanner [34] for creating a composite electronic record [10] comprising the at least one object and graphic code (see column 4 lines 54-57); and a container creator [20] for associating the at least one object [16, 18] with other objects [22 - 26] and for assigning a transaction identifier (*Col. 4 lines 61 - 65, disclosing transaction [to load] with identifier [WWW]*) to the at least one object [16, 18].

**Regarding dependent claim 8**, Figure 2 of of Durst et al. disclose the graphical code [12] comprises data concerning the at least one object (*Fig. 2 [20] disclosing data string files location [21] of data concerning the objects [16, 18]*).

**Regarding dependent claim 9**, Figure 2 [21 - 26] discloses the data comprises unique index data (Fig. 2 [20]) concerning the at least one object.

**Regarding dependent claim 10**, Fig. 2 of Dust discloses the data comprises coordinate location of fields (field 1.....field 6, [21-26]) within the at least one object.

**Regarding dependent claim 11**, Fig. 6, Step 208 – 216, of system Fig. 1 of

Durst et al. further disclose instructions for viewing the at least one object, the unique index data (Fig. 2 [21 – 26] of unit index data string [20]) and supplemental data concerning the at least one object in a viewer (see Col. 5 line 62 – Col. 6 line 4).

**Regarding dependent claim 12**, Fig. 3 of Durst et al. discloses the container creator further comprises instructions for retrieving (*fig. 6 step 208 - 216 discloses instruction for retrieving*) and manipulating the at least one object (Fig. 3 [10]) using the same application that created the at least one object (Fig. 2 [10]).

**Regarding to independent claim 13**, Figs. 3 and 6 of Durst et al. discloses a method for managing workflow within an organization (Col. 6 lines 26 - 27) comprising: receiver an electronic container [10] comprising one or more objects (Text and car image of [10]) associated with a task (barcode [12]) and routing information associated with each of the one or more objects (Col. 4 lines 60 - Col. 5 line 5); routing each of the one or more objects to a recipient designated in the routing information for processing (Fig. 6 Step 214); and receiving from each designated recipient one or more processed objects (Fig. 6 step 216).

**Regarding to dependent claim 14**, Fig. 3 of Durst further disclose wherein receiving an electronic container [10] comprising one or more objects [Text, Car Image of 10] associated with a task [12] comprises receiving one or more objects [Text, Car Image] selected from the group consisting of an image [Car Image], a document [TEXT], a database, a computer generated file, and an electronic data interchange file (Fig. 3 [10]).

**Regarding to dependent claim 15**, Durst further discloses wherein receiving an electronic container comprising routing information associated with each of the one or

more objects comprises receiving for each of the one or more objects a graphical code comprising the routing information (Col. 4 line 61 – Col. 5 line 5).

**Regarding to dependent claim 16**, Durst further discloses the method of managing workflow within an organization (Col. 6 lines 34 – 35), wherein the method further comprises receiving for at least one of the one or more objects index information (*See Fig. 3 of Durst disclosing a receiving system by scanning a barcode [12], and receiving in the index information [21, file location], [22, Source ID], [23, User demographics] which encoded in the bar code 12, [see Col. 4 lines 65 – 67, and Fig. 2 data string 20 for disclosing index information encode in the barcode]*).

**Regarding to dependent claim 18**, Fig. 3 of Durst further discloses the method of managing workflow within an organization (Col. 6 lines 34 – 35), wherein the method further comprises: receiving a graphical code (Fig. 3 [12]) indicative of an organization structure of the electronic container (Col. 4 lines 61 – Col. 5 line 4).

**Regarding to dependent claim 19**, Fig. 3 of Durst further wherein receiving a graphical code indicative of an organization structure of the electronic container comprises: receiving rules directed to determining order to the objects within the container (See Figs. 8, 9 and 10, starting from step 208 of Fig. 8 to step of 272 of Fig. 10) for disclosing the order and of determining rules and process to transmitting the file of the object from the storage of the sever to the client computer).

**Regarding to dependent claim 20**, Fig. 3 of Durst discloses wherein receiving a graphical code [12] indicative of an organizational structure of the electronic container

(Col. 4 lines 61 – Col. 5 line 4) comprises receiving rules directed to determining whether the electronic container comprises all of the objects associated with the task (see Figs. 8, 9 and 10, starting from step 208 of Fig. 8 to step of 272 of Fig. 10 for rules directed to determining whether the electronic container comprises all of the objects associated with the task (See Fig. 3 of Durst disclosing a receiving system by scanning a barcode 12, and receiving in the index information [21, file location], [22, Source ID], [23, User demographics] which encoded in the bar code 12, [see Col. 4 lines 65 – 67, and Fig. 2 data string 20 for disclosing index information encode in the barcode 12).

### ***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claim 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over Durst et al. as applied to claim 16 above, and further in view of Howell et al. U.S. Patent No. 6,215,992.**

Durst et al., The object index 20 of Fig. 2 indicative of the a field value, a field name, a field type [Field 1, Field 2, Field ... Field 6] and a checksum value [62] of Fig. 2 of Durst et al. as applied to claim 16 above, disclosed every aspect of applicant's claimed invention except for a length value.



Howell et al. Col. 11 lines 14 – 18, disclosing a barcode system with a length packet value includes in the checksum.

Both Durst et al. and Howell et al. are relating to barcode system. Therefore it would have been obvious to one having ordinary skill in the art at the time the invention was made to modified the check sum of Durst et al.'s barcode with the check sum of Howell et al. for the purpose of providing error identification checksum for the packet length (Col. 11 lines 16 – 17).

### ***Response to Arguments***

**6. Applicant's argument is persuasive, however a new ground of rejection specially the references with Patent No.: US 6,108,656 and US 6,215,992 B1 as noted supra is applying to this office action.**

### ***Prior art***

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Acker et al.	Patent No. 5,671,378	Date of Patent: Sep. 23, 1997
Dorak, Jr.	Patent No. US 6,389,403 B1	Date of Patent: May 14, 2002
Hudetz et al.	Patent No. US 6,199,048 B1	Date of Patent: Mar. 6, 2001
Nethery	Patent No. US 6,070,798	Date of Patent: Jun. 6, 2000
Hayosh	Patent No. US 6,212,504 B1	Date of Patent: Apr. 3, 2001

***Conclusion***

8. Any inquiry concerning this communication from the examiner should be directed to Dang Nguyen, who can be reached by telephone at (703) 305-1673. Normal contact times are M-F, 8-4:30.

Upon an unsuccessful attempt to contact the examiner, the examiner's supervisor, Stephen Hong, may be reached at (703) 308-5465.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist, whose telephone number is (703) 305-3900.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Or faxed to:

(703) 746-7239 (for formal communications intended for entry)

or:

(703) 746-7238 (for after-final communications)

Hand-delivered responses should be brought to

Crystal Park II, 2121 Crystal Drive  
Arlington, VA, Fourth Floor (receptionist).

Dang Nguyen 1/20/2003



**STEPHEN S. HONG  
PRIMARY EXAMINER**